

- *Official Emblem –*
**Thammasat University Regulation, re:
Research and Research Fund Management, B.E. 2561**

Thammasat University is a research university whose policies and goals emphasize the elevation of its research and innovation to a higher level, both in terms of quantity and quality, as well as being widely accepted both nationally and internationally, by allocating its budget to fund the creation of new research and innovation, promoting the integration of research groups, developing new generations of researchers, and promoting the dissemination of research results in important forums both domestically and abroad. In this regard, in order to ensure the unity of the university's research administration, and that the university's research administration is flexible enough to cope with any changes or additions necessary to continue pursuing its research and innovation, as well as being most up to date and always capable of supporting the university's research policies.

By virtue of Section 23 (2) and (4) of the Thammasat University Act, B.E. 2558, and by the resolution of the University Council from the 4/2561 Meeting, which took place on August 27th, 2018, it has been agreed to issue the following Regulation.

Article 1 – This Regulation is called “*Thammasat University Regulations, re: Research and Research Fund Management, B.E. 2561*”

Article 2 – This Regulation shall come into force from the day after the announcement date onwards.

Article 3 – All other rules, regulations, announcements, or any and all orders that are covered under this Regulation, or are contradicted or conflicted with this Regulation, will be replaced by this Regulation.

Article 4 – In this Regulation

“*Research Fund*” means Thammasat University Research Fund.

“*University*” means Thammasat University.

“*Section*” means faculties, colleges, or institutes.

Article 5 – The Rector shall maintain compliance with this Regulation and shall have the power to issue any announcements or orders, in order to lay down the guidelines for ensuring compliance with this Regulation.

Section 1
Research Fund

Part 1
Establishment

Article 6 – The University shall establish a fund, called the “Research Fund,” whose objectives are, among other things, promoting and supporting the university's research and innovation to a higher level of quality, as well as being widely accepted both nationally and internationally, allowing the university to operate as a proper research university. Other objectives are, as follows.

- (1) Create or arrange for the creation of research, creative work, innovation, or invention by the University, in order to achieve the university's objectives under Section 7 of the Thammasat University Act.
- (2) Allocate the budget as a subsidy for the Research Fund (Section's Name).
- (3) Allocate the budget as funding for the creation of research, creative work, innovation, or invention.
- (4) Allocate the budget as prize money to promote research, creative work, innovation, or invention.
- (5) Allocate the budget as funding for the publication or dissemination of research, creative work, innovation, invention, or academic article.
- (6) Allocate the budget as funding for the registration of intellectual property rights arising from research, creative work, innovation, or invention.
- (7) Allocate budget as expenses for the publication or management of university-level academic journals.
- (8) Allocate budget for the establishment and operations of the Center of Excellence, in accordance with this Regulation.
- (9) Cultivate the research capability among members of the University, including full-time faculty members, researchers, and members of other related positions.
- (10) Support operations that are related to the research accreditation.

In order to achieve the objectives described under paragraph one herein, the Research Fund may choose to operate independently or cooperate with agencies outside the university, in accordance with agreements made by and between the university and those external agencies.

Article 7 – The Research Fund described under Article 6 shall include the following funds and assets.

- (1) University subsidy.
- (2) Funds and assets that are approved by the University Council to be included in the Research Fund.
- (3) Funds and assets that are donated to the Research Fund.
- (4) Return on investment on research and profits.
- (5) Gain or other benefits arising from the operations of the Research Fund.
- (6) Money or other benefits.

In this regard, the university shall allocate budget as a subsidy, as described under Item (1) herein, to the Research Fund, for an amount that is not less than three percent of the Company's operating budget, out of the university's income, according to Section 15 (3) (4) (5) (6) and (7).

Article 8 – The funds and assets of the Research Fund, as described under Article 6 herein, must be used for the following purposes.

- (1) Allocated as research funds.
- (2) Allocated as price money.
- (3) Allocated as budget for the establishment and operation of the Center of Excellence.
- (4) Allocated as expenses for organizing training courses and seminars, as well as for the publication of academic journals, print media, or electronic media to disseminate the university's academic works.

(5) Allocated as meeting allowances, compensation, travel expenses, registration fees, and administrative expenses that are necessary for promoting and supporting research works, as per the approval of the Research and Research Fund Management Board.

(6) Allocated as expenses for presenting academic works.

(7) Allocated as expenses for hiring researchers, research assistants, or other workers that are necessary for research administration.

(8) Allocated as expenses for research accreditation.

(9) Allocated as a subsidy for the Research Fund (Section's Name)

(10) Allocated as research funds, price money, or other types of grants as determined by the University Council.

Funds and assets that are donated to the Research Fund must be managed and used as per the donor's requirement. In the event that it is necessary to deviate from the donor's requirement, such a deviation must be approved by the donor or the donor's heir. If the donor has no heir or the heir is unreachable, such a deviation must be approved by the University Council.

Part 2 **Research Fund Management**

Article 9 – The University must establish the Research and Research Fund Management Board and the Board shall consist of the following members.

(1) The Rector shall serve as the Chairman of the Board.

(2) The Vice Rector for Research Administration shall serve as the Vice Chairman of the Board

(3) The Vice Rector for Planning shall serve as a director.

(4) The Vice Rector for Academic Affairs shall serve as a director.

(5) The Vice Rector for Finance shall serve as a director.

(6) The Assistant to the Rector for Research Administration (if any) shall serve as a director.

(7) The President of the Faculty Senate or his representative shall serve as a director.

(8) Experts in the fields of Social Sciences, Humanities, Science and Technology, and Health Sciences, at most 2 experts from each field, shall be appointed by the Rector as directors.

(9) The Director of the Research Administration Division shall serve as a director and secretary of the Board. In addition, the Director of the Research Administration Division may appoint at most 2 other workers of the Research Administration Division as assistant secretaries.

Members of the Board under Item (8) shall have a term of office of 2 years, and they can be reappointed.

Article 10 – The Research and Research Fund Management Board shall have the following authorities and duties.

(1) Determining the policies, goals, and guidelines for research administration of the university.

(2) Preparing the budget and allocating the research fund, as described under Article 8.

(3) Establishing, supervising, and dissolving the Center of Excellence.

- (4) Monitoring and evaluating the Research Fund's performance.
- (5) Promoting, supporting, monitoring, and supervising the Research Fund's operations.
- (6) Preparing a Performance Report of the Research and Research Fund Management Board and presenting the report to the University Council at the end of every fiscal year.
- (7) Advising the Rector to appoint a sub-committee to pursue the objectives of the Research Fund described under Article 6.
- (8) Performing other duties assigned by the University Council or the Rector to support the university's research work.

Section 2 **Research Fund (Section's Name)**

Article 11 – Sections of the university shall establish a fund called the “Research Fund (Section's Name), whose objectives are, among other things, promoting and supporting the sections' research and innovation to a higher level of quality, as well as being widely accepted both nationally and internationally. Other objectives are, as follows.

- (1) Create or arrange for the creation of research, creative work, innovation, or invention by the sections, in order to achieve the sections' objectives.
- (2) Allocate the budget as funding for the creation of research, creative work, innovation, or innovation, as specified by the sections.
- (3) Allocate the budget as prize money to promote research, creative work, innovation, or invention, as specified by the sections.
- (4) Allocate the budget as funding for the publication or dissemination of research, creative work, innovation, invention, or academic article, as specified by the sections.
- (5) Allocate the budget as expenses for the publication or management of section-level academic journals.
- (6) Support the operations of specialized research units or dedicated research centers, in accordance with the sections' expertise and as specified by the sections.
- (7) Cultivate the research capability among members of the University, including full-time faculty members, researchers, and members of other related positions.
- (8) Support operations that are related to the research accreditation.

In order to achieve the objectives described under paragraph one herein, the Research Fund (Section's Name) may choose to operate independently or cooperate with other sections, the university, or external agencies.

Article 12 – The Research Fund (Section's Name) shall include the following funds and assets.

- (1) University subsidy, which will be allocated as per criteria specified by the Research and Research Fund Management Board.
- (2) Subsidy allocated from the sections' income, at an annual rate of not less than two percent of the annual budget of the sections.
- (3) Subsidy provided by other agencies.
- (4) Funds and assets that are donated to the Research Fund.
- (5) Profits from the Research Fund's income.

- (6) Return on investment on research and profits.
- (7) Gain or other benefits arising from the operations of the Research Fund.

Article 13 – The funds and assets of the Research Fund (Section's Name) must be used for the purposes of the Research Fund, including but not limited to allocated as meeting allowances, compensation, travel expenses, registration fees, and administrative expenses that are necessary for promoting and supporting research works, as per the approval of the Research Promotion Committee (Section's Name)

Funds and assets that are donated to the Research Fund (Section's Name) must be managed and used as per the donor's requirement. In the event that it is necessary to deviate from the donor's requirement, such a deviation must be approved by the donor or the donor's heir. If the donor has no heir or the heir is unreachable, such a deviation must be approved by the University Council.

Part 2 **Research Fund Management (Section's Name)**

Article 14 – The University must establish the Research Promotion Committee (Section's Name) and the Committee must consist of the following members.

(1) The Section Chief, or the Vice Section Chief assigned by the Section Chief, must serve as the Chairman of the Committee.

(2) Directors of the Committee will be appointed by the Section Chief, who must appoint at least another three, but not more than nine, individuals as directors of the Committee.

The Secretary of the Section shall serve as a director and secretary of the Committee. In addition, the Section Chief may appoint at most two other workers of the Section as assistant secretaries.

For the term of office, members of the Research Promotion Committee shall remain on the Committee as long as the Section Chief who appoints them is still in his office.

Article 15 – The Research Promotion Committee (Section's Name) shall have the following authorities and duties.

(1) Determining the policies, goals, and guidelines for research administration of the sections, in accordance with the goals and policies of the university.

(2) Preparing the budget, allocating the funds and assets, and funding the expenses of the Research Fund.

(3) Considering and approving the creation of research, creative work, innovation, or invention by the sections, in order to achieve the objectives of the Research Fund (Section's Name).

(4) Considering and approving the allocation of budget as funding for the creation of research, creative work, innovation, or invention, as specified by the sections.

(5) Considering and approving the allocation of budget as prize money to promote research, creative work, innovation, or invention, as specified by the sections.

(6) Considering and approving the allocation of budget as funding for the publication or dissemination of research, creative work, innovation, invention, or academic article, as specified by the sections.

(7) Promoting, supporting, and supervising the publication of sections-level academic journals.

(8) Considering and approving the creation, management, and dissolution of the specialized research units or dedicated research centers.

(9) Considering and approving activities for cultivating the research capability among members of the University, including full-time faculty members, researchers, and members of other related positions.

(10) Considering and approving the support operations that are related to the research accreditation.

(11) Monitoring and evaluating the performance of the Research Fund, as well as preparing and presenting the performance report of the Research Fund to the Research and Research Fund Management Board, as specified by the university.

(12) Appointing sub-committees to pursue the objectives of the Research Fund (Section's Name).

(13) Performing other duties assigned by the Research and Research Fund Management Board to support the research operations.

Section 3

Research and Innovation Promotion Grants and Awards from the University and Sections

Article 16 – The research and innovation promotion grants and awards from the university and sections are, as follows.

(1) The Research and Innovation Promotion Grants.

(2) The Research Papers and Academic Articles Translation Promotion Grants.

(3) The National or International Academic Conference Promotion Grants.

(4) The International Research or Innovation Presentation Grants.

(5) The Center of Excellence, Specialized Research Units, or Dedicated Research Centers Promotion Grants.

(6) The Research and Innovation Promotion Grants for External Entities under the Research and Innovation Promotion Agreement with the University or Sections.

(7) The Academic and Creative Works Publication Promotion Awards.

(8) The Awards for Registration of Intellectual Property Rights over Works.

(9) The Outstanding Research Awards.

(10) The Outstanding Researcher Awards.

(11) Other types of grants and awards approved by the University Council.

The criteria and methods for the university to allocate the grants and awards described in paragraph one herein shall be specified by the Rector, under the approval of the Research and Research Fund Management Board, and made in the form of the university announcement.

The criteria and methods for the sections to allocate the grants and awards described in paragraph one herein shall be specified by, under the approval of the Research Promotion Committee (Section's Name), and made in the form of the section announcement.

Section 4 Preparation of Academic Journals

Article 17 – The management and support for the preparation of academic journals must follow the rules, criteria, and methods specified by the Rector, under the approval of the Research and Research Fund Management Board, and made in the form of the university announcement.

Transitional Provisions

Article 18 – Research projects and other operations whose funding and support are provided under Thammasat University Rules, re: Research and Thammasat University Research Fund Management, B.E. 2555 and the amendments thereof; Thammasat University Rules, re: Faculty Research Fund, B.E. 2555 and the amendments thereof; Thammasat University Rules, re: Center of Excellence, B.E. 2554; and Thammasat University Rules, re: Academic Journal Production and Rewards for Authors of Academic Journal, B.E. 2557 and the amendments thereof before the effective date of this Regulation shall proceed under the provisions of such Rules until such research projects or operations are complete.

Article 19 – While the university has not announced any guidelines and procedures for complying with this Regulation or provisions of this Regulation, the existing guidelines and procedures described under Thammasat University Rules, re: Research and Thammasat University Research Fund Management, B.E. 2555 and the amendments thereof; Thammasat University Rules, re: Faculty Research Fund, B.E. 2555 and the amendments thereof; Thammasat University Rules, re: Center of Excellence, B.E. 2554; and Thammasat University Rules, re: Academic Journal Production and Rewards for Authors of Academic Journal, B.E. 2557 and the amendments thereof will be applied, as far as those guidelines and procedures do not contradict or conflict with this Regulation.

Article 20 – The guidelines and procedures necessary for complying with this Regulation must be completely established and announced within one hundred and eighty days from the date this Regulation comes into force.

Announced this on September 13th, 2018

- Signature -

(Adjunct Professor Noranit Setabutr)
President of Thammasat University Council